UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. O. Rey. 1450

P O Box 1450 Alexandria, Virgima 22313-1450 www.uspto.gov

### NOTICE OF ALLOWANCE AND FEE(S) DUE

3000 7590 100662008 CAESAR, RIVISE, BERNSTEIN, COHEN & POKOTILOW, LTD. 11TH FLOOR, SEVEN PENN CENTER 1635 MARKET STREET

PHILADELPHIA, PA 19103-2212

| EXAMINER                |              |  |  |  |  |
|-------------------------|--------------|--|--|--|--|
| SACKEY, EBENEZER O      |              |  |  |  |  |
| ART UNIT                | PAPER NUMBER |  |  |  |  |
| 1624                    | _            |  |  |  |  |
| DATE MAILED: 10/06/2008 |              |  |  |  |  |

| APPLICATION NO.                                    | FILING DATE | FIRST NAMED INVENTOR      | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|--|-------------|---------------------------|---------------------|------------------|--|
| 10/518,214   | 12/16/2004  | Bandi reddy Parthasaradhi | H1089/20024         | 3550             |  |
| TITLE OF INVENTION: ARIPIPRAZOLE CRYSTALLINE FORMS |             |                           |                     |                  |  |

EL OF EVVENTION, ARE IT REZOLE CRISTALLE TORGES

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES          | \$755         | \$300               | \$0                  | \$1055           | 01/06/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FFE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

| INSTRUCTIONS: This<br>appropriate. All further<br>indicated unless correcte<br>maintenance fee notificat   | form should be used to<br>correspondence including<br>d below or directed officients.  | for transmitting the I<br>ng the Patent, advanc<br>nerwise in Block 1, b  | SSUE FEE and PUI<br>e orders and notifica<br>y (a) specifying a no   |   |  |  |                                     |   |
|--|--|---|--|---|--|--|-------------------------------------|---|
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)   |  |   | ess)   | Note<br>Fee(<br>pape<br>have                                  | e: A certificate of r<br>s) Transmittal. This<br>ers. Each additional<br>e its own certificate                 | nailing can only be us<br>certificate cannot be u<br>paper, such as an assig<br>of mailing or transmiss                      | ed for<br>sed for<br>anment<br>ion. | domestic mailings of the<br>any other accompanying<br>or formal drawing, must   |
| 3000<br>CAESAR RIV   | 7590 10/06<br>ISE, BERNSTEI  |   |  |   | Cert   | ificate of Mailing or T  | ransmi                              | ission<br>leposited with the United   |
| COHEN & POK<br>11TH FLOOR, S   | OTILOW, LTD.<br>SEVEN PENN CEN   |   |  | State<br>addr<br>trans  | es Postal Service we<br>essed to the Mail<br>smitted to the USPI   | ith sufficient postage for<br>Stop ISSUE FEE add<br>O (571) 273-2885, on   | r first of<br>fress at<br>the date  | class mail in an envelope<br>bove, or being facsimile<br>e indicated below.   |
| 1635 MARKET<br>PHILADELPHI   | STREET<br>A, PA 19103-2212   |   |  |   |  |  |                                     | (Depositor's name)  |
|  |  |   |  |   |  |  |                                     | (Signature)<br>(Date)   |
|  |  |   |  |   |  |  | _                                   |   |
| APPLICATION NO.  | FILING DATE  |   |  | FIRST NAMED INVENTOR  |  | ATTORNEY DOCKET N  | О.                                  | CONFIRMATION NO.  |
| 10/518,214   | 12/16/2004   | OTALL DE EADING   | Bandi reddy Parti  | hasaradhi   |  | H1089/20024  |                                     | 3550  |
| TITLE OF INVENTION   | : ARIPIPRAZOLE CR  | STALLINE FORMS  |  |   |  |  |                                     |   |
|  |  |   |  |   |  |  |                                     |   |
| APPLN, TYPE  | SMALL ENTITY   | ISSUE FEE DUE   | PUBLICATION F  | EE DUE  | PREV. PAID ISSUE   | FEE TOTAL FEE(S)   | DUE                                 | DATE DUE  |
| nonprovisional   | YES  | \$755   | \$300  |   | \$0  | \$1055   |                                     | 01/06/2009  |
| EXAM   | INER   | ART UNIT  | CLASS-SUBCI  | LASS  |  |  |                                     |   |
| SACKEY, EI   | BENEZER O  | 1624  | 544-36300  | 00  |  |  |                                     |   |
| Change of corresponde<br>CFR 1.363).   | nce address or indicatio   | n of "Fee Address" (3   |  |   | atent front page, list   |  |                                     |   |
|  | ondence address (or Cha  | inge of Correspondent   | (1) the names<br>or agents OR,   | of up to<br>alternativ  | 3 registered patent<br>ely,  |  |                                     |   |
| ☐ Change of correspondence address (or Change of Correspondenc Address form PTO/SB/122) attached. ☐ 'Fee Address' indication (or 'Fee Address' Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Custome Number is required. |  | registered atto   | (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is 1 listed, no name will be printed. |   |  |  |                                     |   |
| 3. ASSIGNEE NAME A   |  |   |  |   |  |  |                                     |   |
| PLEASE NOTE: Unl<br>recordation as set forth   | ess an assignee is ident<br>in 37 CFR 3.11. Com  | ified below, no assig<br>pletion of this form is  | nee data will appear<br>NOT a substitute for:  | on the pa   | stent. If an assigne<br>assignment.  | e is identified below,   | he doc                              | ument has been filed for  |
| (A) NAME OF ASSIC  |  |   |  |   | and STATE OR CO  |  |                                     |   |
|  |  |   |  |   |  |  |                                     |   |
| Please check the appropri  | ate assignee category or   | categories (will not b  | e printed on the pater   | ı(): 🗖  | Individual 🗖 Cor   | rporation or other priva   | te group                            | p entity Government   |
| 4a. The following fee(s) a   | are submitted:   |   |  |   | se first reapply an  | y previously paid issue  | fee sh                              | own above)  |
| ☐ Issue Fee ☐ Publication Fee (N   | o small entity discount  | permitted)  | ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.  |   |  |  |                                     |   |
| Advance Order - #  |  |   | The Director   | is hereby   |  | e the required fee(s), a   | ay defic                            | ciency, or credit any<br>extra copy of this form).  |
| 5. Change in Entity Stat   | us (from status indicate<br>s SMALL ENTITY state   |   |  |   |  | LENTITY status. See  | 37 CFF                              | t 1.27(g)(2).   |
| NOTE: The Issue Fee and<br>interest as shown by the r  | Publication Fee (if req<br>ecords of the United Sta  | uired) will not be acce<br>ites Patent and Traden   | epted from anyone oth<br>nark Office.  | er than t   | he applicant; a regis  | tered attorney or agent;   | or the                              | assignee or other party in  |
|  |  |   |  |   |  |  |                                     |   |
| Authorized Signature   |  |   |  |   | Date   |  |                                     |   |
| Typed or printed name  |  |   |  |   | Registration No  |  |                                     |   |
| This collection of inform<br>an application. Confident<br>submitting the completed<br>this form and/or suggesti<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223   | ation is required by 37 C<br>iality is governed by 35<br>application form to the<br>ons for reducing this bu<br>irginia 22313-1450. DO<br>13-1450. | CFR 1.311. The inform<br>U.S.C. 122 and 37 C<br>USPTO. Time will be<br>rden, should be sent to<br>D NOT SEND FEES O | nation is required to o<br>FR 1.14. This collect<br>wary depending upon<br>the Chief Information<br>OR COMPLETED FO  | btain or r<br>ion is est<br>the indiv<br>on Office<br>ORMS TO | etain a benefit by th<br>imated to take 12 m<br>idual case. Any cor<br>r, U.S. Patent and 7<br>D THIS ADDRESS. | e public which is to file<br>sinutes to complete, inc<br>nments on the amount<br>frademark Office, U.S.<br>SEND TO: Commissi | (and bluding of time Depart oner fo | by the USPTO to process)<br>gathering, preparing, and<br>e you require to complete<br>ment of Commerce, P.O.<br>r Patents, P.O. Box 1450, |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.



PHILADELPHIA, PA 19103-2212

## UNITED STATES PATENT AND TRADEMARK OFFICE

#### UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P O Box 1450 Alexandria, Virgima 22313-1450 www.uspto.gov

| APPLICATION NO.               | FILING DATE     | FIRST NAMED INVENTOR      | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |
|-------------------------------|-----------------|---------------------------|-------------------------|------------------|--|
| 10/518,214                    | 12/16/2004      | Bandi reddy Parthasaradhi | H1089/20024             | 3550             |  |
| 3000                          | 7590 10/06/2008 |                           | EXAM                    | UNER             |  |
| CAESAR, RIV                   | ISE, BERNSTEIN, | SACKEY, E                 | BENEZER O               |                  |  |
| COHEN & POK                   |                 |                           | ART UNIT                | PAPER NUMBER     |  |
| 11TH FLOOR, SEVEN PENN CENTER |                 |                           | 1624                    |                  |  |
| 1635 MARKET                   | STREET          |                           | DATE MAILED: 10/06/2008 |                  |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 487 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 487 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## Application No. Applicant(s) 10/518,214 PARTHASARADHI ET AL. Notice of Allowability Examiner Art Unit EBENEZER SACKEY 1624 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to 06/25/08. The allowed claim(s) is/are 4-5 AND 8 NOW CLAIMS 1-3 RESPECTIVELY. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). b) ☐ Some\* c) ☐ None of the: a) 🔯 All 1. A Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \* Certified copies not received: \_\_\_\_\_. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. | Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date Information Disclosure Statements (PTO/SB/08). 7. Examiner's Amendment/Comment Paper No./Mail Date 4. T Examiner's Comment Regarding Requirement for Deposit 8. T Examiner's Statement of Reasons for Allowance of Biological Material □ Other . /James O. Wilson/ Supervisory Patent Examiner

Art Unit 1624